

05/02/2021

Caleb Smith  
Rice Speir Solicitors  
Level 9, 51 Shortland Street  
Auckland 1010  
By email: [Caleb@ricespeir.co.nz](mailto:Caleb@ricespeir.co.nz)

Dear Caleb,

**Official information request for information about the Callaghan Innovation IP programme.**

I refer to your official information request dated 22 December 2020 for the following information:

1. Further to Callaghan's response to OIA request 2016-OIA-15, FYI request #4135, hyperlink as follows, in which the following information was provided up to 14 July 2016: "A list of all the businesses that have received support as part of Innovation IP since inception (split out per provider if possible)." Please provide a summary of the total number of businesses that have received financial support as part of the Innovation IP programme since 14 July 2016, split out by programme provider.
2. Please provide a list of businesses, individuals or other entities that have received grants from Callaghan Innovation, whether directly or through the Regional Business Partner Network.
3. Please advise whether recipients of grants from Callaghan Innovation are required, requested or otherwise recommended to participate in the Innovation IP programme.
4. Please advise whether the Innovation IP Programme is promoted by Business Innovation Advisers and/or R&D Advisers at Callaghan Innovation, or its Regional Partners.
5. Please advise what proportion of recipients of grants from Callaghan Innovation received financial support as part of the Innovation IP program.
6. Please advise whether any grants by Callaghan Innovation are awarded on the condition that there is participation in the Innovation IP programme.
7. Please advise whether applicants for grants given by Callaghan Innovation have been required or encouraged to seek advice on "freedom to operate" or other intellectual property issues other than by participating in an Innovation IP workshop.

The information you have requested is outlined below.

*1. Further to Callaghan's response to OIA request 2016-OIA-15, FYI request #4135, hyperlink as follows <https://fyi.org.nz/request/4135/response/13797/attach/5/2016%20OIA%2015%20IP%20Expert%20letter%20sent%2014%20July.pdf> in which the following information was provided up to 14 July 2016: "A list of all the businesses that have received support as part of Innovation IP since inception (split out per provider if possible)."*

*Please provide a summary of the total number of businesses that have received financial support as part of the Innovation IP programme since 14 July 2016, split out by programme provider.*

**Response:**

Provider	# participants since 14/07/16*:
Potter IP Limited	42
AJ Park IP Limited	38
Ellis Terry	14
Catalyst IP	13
Hudson Gavin Martin	11
EveredgeIP Limited	9
Innovation Liberation Front	7
J&W Ventures Limited	5
Origin IP	1
Baldwins Intellectual Property Limited	1
<b>Total:</b>	<b>141</b>

\*For contextual information, please note the number count may include businesses that are still In Progress. This means they won't yet have received financial support, but are enrolled and will receive reimbursement upon completion of the programme.

I.e., they have enrolled and will be eligible to receive the financial support, on completion of Stage 1.

*2. Please provide a list of businesses, individuals or other entities that have received grants from Callaghan Innovation, whether directly or through the Regional Business Partner Network.*

**Response:**

This information is available on our website, using the "Grant Recipients" search function:

<https://www.callaghaninnovation.govt.nz/grants/grant-recipients>

We are therefore refusing the request for this information under Section 18(d) of the Official Information Act (1982) ("the Act"), as it is already publicly available.

*3. Please advise whether recipients of grants from Callaghan Innovation are required, requested or otherwise recommended to participate in the Innovation IP programme.*

**Response:**

No, Callaghan Innovation grant recipients are not required or requested to participate in the Innovation IP Programme.

Some grant customers may have the programme recommended to them, if it is believed they would benefit. This would be at the discretion of the Primary Relationship Manager (Regional Business Partner, Customer Manager or Business Innovation Advisor).

*4. Please advise whether the Innovation IP Programme is promoted by Business Innovation Advisers and/or R&D Advisers at Callaghan Innovation, or its Regional Partners.*

**Response:**

The programme can be recommended by Business Advisors and Regional Partners and/or other primary relationship managers to those customers where they see the right fit, they do not "promote" in a typical

sales fashion. However, participation in the programme is entirely voluntary, and is up to the customer to decide whether or not to use the service.

*5. Please advise what proportion of recipients of grants from Callaghan Innovation received financial support as part of the Innovation IP program*

**Response:**

Approximately 5% of Callaghan Innovation grant recipients have also received financial support via subsidised attendance of the Innovation IP Programme. Note this proportion is limited to the past 5 years only (since 01/01/2016).

*6. Please advise whether any grants by Callaghan Innovation are awarded on the condition that there is participation in the Innovation IP programme.*

**Response:**

No, Callaghan Innovation never awards grants on the condition that the recipient participate in the Innovation IP Programme.

*7. Please advise whether applicants for grants given by Callaghan Innovation have been required or encouraged to seek advice on "freedom to operate" or other intellectual property issues other than by participating in an Innovation IP workshop*

**Response:**

Yes, some grant recipients have been approved on the condition that they complete a Freedom to Operate search. This is in cases where we believe the technology being developed may already be in existence, or if we do not believe the company has investigated in sufficient depth.

We do not put any conditions on who should undertake that search - other than that it be an appropriate professional.

You have the right to seek an investigation and review by the Ombudsman of this decision. Information about how to make a complaint is available at [www.ombudsman.parliament.nz](http://www.ombudsman.parliament.nz) or freephone 0800 802 602.

Kind regards,



Stefan Korn  
General Manager - Market, Engagement and Experience and Sectors  
**Callaghan Innovation**