



Office of Hon Bill English

Deputy Prime Minister

Minister of Finance

Minister Responsible for Housing New Zealand Corporation

09 OCT 2014

John Telford
fyi-request-2055-497c625a@requests.fyi.org.nz

Dear John Telford

Thank you for your request made under the Official Information Act and received on 26 September 2014. You requested the following:

"a list [of] the unlicensed brokers who operated in the Philippines that came to the attention the then NZ Securities Commission and were listed as a warning on the NZ Securities Commission's web site. The period of interest is 2000 to 2005".

I do not hold information relating to your request. I believe that such information is held by the Minister of Commerce and Consumer Affairs. Accordingly, I have decided under section 14(b)(i) of the Official Information Act to transfer your request to Paul Goldsmith, as the Minister of Commerce and Consumer Affairs.

The Minister of Commerce and Consumer Affairs has 20 working days from receipt of this transfer to make a decision on your request.

Yours sincerely

A handwritten signature in blue ink, appearing to read 'Bill English'.

Hon Bill English
Minister of Finance