

- [About](#)
- [Publications](#)
- [Exemptions](#)
- [Notices](#)
- [What's new?](#)
- [International](#)
- [Speeches](#)
- [Information for investors](#)
- [Financial adviser regulation](#)
- [Contact us](#)
- [Site map](#)
- [Home](#)

## Overseas firms that have called New Zealanders

Since August 2000 we have published the names of firms who have targeted people in New Zealand. These callers do not hold a New Zealand broker's licence or futures dealer authorisation and do not comply with New Zealand law.

They have not provided evidence that they are registered in their home jurisdiction to undertake this type of securities business.

This list is not exhaustive. If you are cold called from a firm that is not on the list that does not mean it is genuine. It is always unwise to send money overseas to someone who you know only from an unsolicited call.

For information on questions to ask before making any investment decision see [Test before you invest](#).

A - E | F - J | K - O | P - U | V - Z

- **Vantage Global Partners**

Aikawa Building  
25 B 7th Floor  
Nishishinjuku  
Shinjuku-ku  
6-5-1  
Tokyo JAPAN

Phone: +81 345 884 017

Fax: +81 345 884 020

Email: [clientservices@vantageglobalpartners.com](mailto:clientservices@vantageglobalpartners.com),  
[info@vantageglobalpartners.com](mailto:info@vantageglobalpartners.com)

Website: [www.vantageglobalpartners.com](http://www.vantageglobalpartners.com)

James Martins - associated individual

Michael Klein - associated individual

Peter Louw - associated individual

Published on this site September 2010

- **Vantage International Management Group Inc**

Times Square Building  
12th Floor, Unit 12-04B  
246 Sukhumvit Road  
Klongtoey  
Bangkok 10110

## THAILAND

Published on this site September 2001

- **VantagePro Asset Management**

Level 7, Wakamatsu Building  
3-3-6 Nihonbashi-Honcho, Chuo-Ku  
Tokyo 103-0023

JAPAN

Tel: +81 3 6202 2913

Fax: +81 3 6202 2912

Associated individual: Richard Weller

Website: [www.vantagepro.jp](http://www.vantagepro.jp)

Email: [richard.weller@vantagepro.jp](mailto:richard.weller@vantagepro.jp)

Published on this site February 2010

- **VIP World Asset Management Co**

Shirlaw House  
Shirley Street  
P O Box SS-19084

Nassau

THE BAHAMAS

Associated individual: Joseph Santeramo

Published on this site October 2000

- **Warren and Baker**

Otemachi First Square East Tower 4F

1 - 5 - 1 Otemachi

Chiyoda-ku

Tokyo 100-0004

JAPAN

Associated individuals: Frank Raul, William H. Warren, James  
Rayburn

Published on this site October 2003

- **Webster Acquisitions**

29th floor

One Canada Square

Canary Wharf

LONDON E14 5DY

Associated individual: Stephen Larson

Published on this site November 2004

- **West Golden Capital**

Level 14 & 15, Prudential Tower

30 Cecil Street

Singapore 049712

Fax: +65 6491 5143

Email: support@westgoldencapital.com  
Website: www.westgoldencapital.com

Associated individuals: Ronald Nicholson, Shaun Vincent,  
Brian O'Connor and Lourens Waller  
Published on this site March 2010

- **The West Group**

Glockengießerwall 17  
Hamburg  
GERMANY 20095

Associated individual: Doug Mason  
Published on this site March 2005

- **West Pac Inc.**

Published on this site November 2006

- **Westernfield Holdings Inc.**

Shinjuku Park Tower S 20/F  
3-7-1 Nishi-Shinjuku  
Shinjuku-ku Tokyo 163-1035  
JAPAN  
Phone + 81-345209532  
Fax + 81-344966474  
Email xxxx@xxxxxxxxxxxxxxx.xxx  
Website www.western-field.com

Associated individuals: Alex Barclay, Anthony Barling  
Published on this site February 2010

- **Western Equities Corporation**

Manhattan Business Centre, 15th Floor  
410 Park Avenue  
New York NY10022  
USA

Tel: + 1 646 360 1663  
Fax: + 1 914 462 3791  
Email: infodesk@westernequitiescorp.com  
Website: www.westernequitiescorp.com

Associated individual: Kenneth Logan  
Published on this site March 2010

- **Westgate Capital Management**

UNITED STATES OF AMERICA

The Westgate Capital Management listed here has stolen the identity of a legitimate firm in the United States. The legitimate Westgate Capital Management is located at One

Blue Plaza, 11th floor, Pearl River, NY 10965. They can be contacted on the phone at +1 845 620 1818 or by fax +1 845 620 6868.

Published on this site April 2008

- **Whitby Dumas**

130 Cromwell Street  
LONDON SW5 OBW

Published on this site 04 June 2004

- **Whitman Lloyds and Associates Mergers and Acquisitions**

70 West Madison Street  
Chicago  
IL 60602-4270  
UNITED STATES OF AMERICA

Published on this site March 2006

- **Whitman Wright & Associates**

1886 Steeles Avenue west, Suite 201 Concord  
Ontario  
CANADA L4K 3E9

Published on this site 04 June 2004

- **The Williams Group**

29 Harley Street  
London W1G 9QR

Associated individual: William Edwards

Published on this site November 2004

- **Worldwide Investors Management Inc**

Suites 303 and 305  
Valero Plaza  
124 Valero Street  
Salcedo Village  
Makati  
Manila  
PHILIPPINES

Associated individuals: Chase Trek, Ramiro Yabut, Christian Dupont, Richard Austin, Nathan Logan, Amador Pastrana, Toby Forster and Peter Lawson.

Published on this site October 2000

- **Worldwide Service Inc.**

322-2906 West Broadway Avenue  
Vancouver, British Columbia

## CANADA

Associated individual: Jeffery Diamond  
Published on this site July 2004

- **Younger & Hausmann Private Equity**

105 Cecil Street  
# 106 - 01 The Octagon  
SINGAPORE 069534

Published on this site February 2005

- **Youngson International Holdings Limited**

45/F 1 Exchange Square  
8 Connaught Place  
HONG KONG

Published on this site July 2005

- **The Xenon Group**

979 King's Road  
Island East  
HONG KONG-2761

Published on this site August 2006

- **Zeus Enterprises**

86 Queens Road  
Central  
HONG KONG

Published on this site November 2005

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**A - E | F - J | K - O | P - U | V - Z**

This list is not comprehensive. You should take exceptional care before committing to any transactions with overseas brokers particularly if they are known to you only by telephone conversation.

See also:

- [Australian Securities and Investments Commission - Cold Calling](#)
- [Australian Securities and Investments Commission - Follow Up Scams](#)
- [Australian Securities and Investments Commission - Fake International 'regulators'](#)
- [Securities and Exchange Commission Thailand - Boiler Room](#)

### Operations

- [Indonesian Capital Market Supervisory Agency](#)
- [US Securities and Exchange Commission \(SEC\)](#)
- [Spanish Comisión Nacional del Mercado de Valores \(CNMV\)](#)

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[About](#) | [Publications](#) | [Notices](#) | [What's new?](#) | [International](#) | [Speeches](#)

| [Site map](#)

[Search](#) | [Information for investors](#) | [Contact us](#) | [Accessibility](#)

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