ELECTORAL COMMISSION
TE KAITIAKI TAKE KŌWHIRI

Item #:	23.07-05
Item:	**Enterprise risk updates**
To:	Electoral Commission
For:	Board meeting 12 July 2023
Prepared by:	Kristin Leslie, Manager Strategy Risk and Assurance

Recommendations

It is recommended that the Board:

1. **note** the attached updates on recruitment; privacy and information management; and disruptive events at voting places

2. **discuss** overall Commission preparations in each of the areas

3. **discuss** whether the updates provide what the Board would seek to enable their response should events, criticism or challenges related to the relevant risk areas materialise.

Purpose

1. This paper updates the Board on cross-Commission activities to anticipate, prepare for, manage and mitigate the impacts of the relevant risks being examined.

Discussion

2. On 17 May 2023 the Board commissioned a number of enterprise risk deep dives on potential issues where the Board would like to seek further assurance. The purpose of these pieces of work is for each identified area:

    a. to provide the Board with a holistic, summarised view of the risk and how it may impact outcomes the Commission seeks to enable; which Commission activities or programmes of work may be impacted; and how the Commission has prepared to reduce, mitigate, avoid or respond. (This view should improve board visibility or confidence that the risk is broadly understood and being actioned in a coordinated manner at the appropriate levels within the Commission.)

    b. to enable the Board to have a conversation about whether activities being undertaken result in an overall risk profile which aligns to the risk appetite and risk tolerance of the Commission.

3. The potential issues were acknowledged not solely to be areas where risks may materialise, but also where they may be perceived to have materialised. Therefore the Commission needs to be well prepared to make statements to redress incorrect or incomplete public understanding of the risk.

Next steps

4. August board updates will be cyclone-affected areas and Māori engagement. September updates will be cybersecurity and counter disinformation activity. The updates will include a high-level update of the previously discussed topics.

Appendices

A – A3 Updates on recruitment; privacy and information management; and disruptive events.