

## Investment Round for 2022 funding: External Rationale for Decisions - Plans

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| Strategic Intent     | 01. Your Plan does not adequately describe how your organisation's mission and role enables positive outcomes for learners and contributes to the education system as a whole.   |
| Strategic Intent     | 02. Your Plan does not provide sufficient evidence of strong governance, management and leadership capability.   |
| Strategic Intent     | 03. Your Plan does not provide sufficient evidence to show that you are able to respond to regional, national or global trends.  |
| Strategic Intent     | 04. Your organisation has a history of low performance, and your Plan does not provide sufficient evidence of improvement.   |
| Strategic Intent     | 05. We are not confident your financial position will enable you to deliver successfully to your learners.   |
| Strategic Intent     | 06. You have not met the TEC's minimum Prudential Financial Standards.   |
| Strategic Intent     | 07. For TEIs: Your plan does not adequately describe how your organisation gives effect to Te Tiriti o Waitangi.   |
| Strategic Intent     | 08. Your Plan does not show sufficient evidence of stakeholder engagement and how the Mix of Provision proposed in the plan would meet the needs of your stakeholders.   |
| Strategic Intent     | 09. Your Plan does not adequately demonstrate how your organisation would contribute to achieving the relevant priorities described in the TES and those set by TEC in its Plan Guidance and Investment Briefs.  |
| Strategic Intent     | 10. Your Plan does not provide sufficient information on how your organisation would meaningfully report its progress to key stakeholders.   |
| Strategic Intent     | 11. We are not confident you can deliver the requested provision as there is insufficient evidence of delivery in 2021. Should demand eventuate in 2022 you can submit a new additional funding request, which we will consider through the standard in-year additional funding process. |
| Strategic Intent     | 12. We are not confident you can deliver this provision due to a history of under-delivery. Should demand eventuate in 2022 you can submit a new additional funding request, which we will consider through the standard in-year additional funding process.                             |
| Strategic Intent     | 13. The proposed Mix of Provision does not demonstrate adequate alignment with national and regional tertiary education needs.   |
| Strategic Intent     | 14. The proposed Mix of Provision does not align with your organisation's strategic intent.  |
| Strategic Intent     | 15. Your Plan does not provide sufficient evidence to show that your organisation has appropriate processes in place to meet its obligations under the Code of Good Practice for New Zealand Apprenticeships.  |
| Strategic Intent     | 16. We are not satisfied that the performance commitments in your Plan are relevant and/or provide sufficient information about how you will measure the performance of your organisation against the proposed outcomes.   |
| Strategic Intent     | 17. We are not satisfied that the performance commitments in your Plan are achievable.   |
| Strategic Intent     | 18. We are not satisfied that the performance commitments in your Plan show a meaningful improvement on your organisation's past performance.  |
| Strategic Intent     | 19. We are not satisfied that the performance commitments in your Plan show a meaningful improvement on your organisation's past performance, particularly with respect to outcomes for priority learner groups.   |
| Learner Success Plan | 01. Your Learner Success Plan does not adequately identify your learner cohort and learner "catchment", or their learning needs and how you will address these.  |
| Learner Success Plan | 02. Your Learner Success Plan does not provide adequate learner demographic achievement and retention analysis.  |

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| Learner Success Plan | 03. Your Learner Success Plan does not demonstrate sufficient understanding of the achievement and participation gaps for different learner groups.  |
| Learner Success Plan | 04. Your Learner Success Plan does not provide sufficient evidence that your organisation can put in place initiatives to address the identified parity gaps.  |
| Learner Success Plan | 05. The information you have provided in your Learner Success Plan does not demonstrate that the employers you work with adequately tailor the training and pastoral care to the needs of different learners.  |
| Learner Success Plan | 06. Your Learner Success Plan does not provide sufficient evidence that the employers you work with understand participation and achievement disparity and actively respond to any issues from a learning perspective.   |
| Learner Success Plan | 07. Your Learner Success Plan does not demonstrate that your organisation's governance and management have sufficient understanding of learner success rates.  |
| Learner Success Plan | 08. Your Learner Success Plan does not provide adequate evidence of regular reporting on learner success to governance and management, or a comprehensive set of performance measures.   |
| Learner Success Plan | 09. For TEIs: It does not appear that learner success measures are included in your organisation's Statement of Performance Expectations.  |
| Learner Success Plan | 10. Your Learner Success Plan does not provide sufficient evidence of whole-of-organisation commitment to learner success, such as senior leadership strategies or having learner success as a key element of your organisation's strategic plan.  |
| Learner Success Plan | 11. Your Learner Success Plan does not provide sufficient evidence that you work with employers to develop an organisational culture that supports learners to succeed.  |
| Learner Success Plan | 12. Your Learner Success Plan does not provide sufficient evidence that your teaching and learning practices are responsive to the needs of different learners and are culturally affirming.   |
| Learner Success Plan | 13. Your Learner Success Plan does not have sufficient evidence to show that your organisation has a sound understanding of learner needs, including how data is used to inform interventions and evaluate their effectiveness.  |
| Learner Success Plan | 14. Your Learner Success Plan does not adequately show that the employers you work with are appropriately trained to meet the needs of different learners, including cultural needs.   |
| Learner Success Plan | 15. Your Learner Success Plan does not provide adequate evidence of stakeholder partnerships with whānau, schools learners, community or employers aimed at building learner success.  |
| MOP                  | 01. The proposed Mix of Provision does not appear to align with your organisation's Strategic Intent.  |
| MOP                  | 02. The proposed Mix of Provision does not appear to align with your current delivery and there is insufficient evidence for us to support this change.  |
| MOP                  | 03. The proposed Mix of Provision includes new areas of delivery which do not align with TES or TEC priorities.  |
| MOP                  | 04. The proposed Mix(es) of Provision do(es) not demonstrate adequate alignment with national and regional tertiary education needs.   |
| MOP                  | 05. We are not confident you can deliver the requested provision as there is insufficient evidence of delivery in 2021. Should demand eventuate in 2022 you can submit a new additional funding request, which we will consider through our standard in-year additional funding process. |
| MOP                  | 06. We are not confident you can deliver this provision due to a history of under delivery. Should demand eventuate in 2022 you can submit a new additional funding request, which we will consider through the standard in-year additional funding process.                             |
| MOP                  | 07. The proposed Mix of Provision does not demonstrate adequate alignment with national and regional tertiary education needs.   |
| MOP                  | 08. The proposed Mix of Provision includes an increase of Level 3 delivery which would be in excess of the current Level 3 CAP.  |
| MOP                  | 09. Your Plan does not respond to the priorities set by TEC for ACE.   |

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| EPIC                       | 01. We are not satisfied that the performance commitments in your Plan are relevant and complete.  |
| EPIC                       | 02. We are not satisfied that the performance commitments in your Plan are achievable.   |
| EPIC                       | 03. We are not satisfied that the performance commitments in your Plan show a meaningful improvement on your organisation's past performance.  |
| EPIC                       | 04. We are not satisfied that the performance commitments in your Plan show a meaningful improvement on your organisation's past performance, particularly with respect to outcomes for priority learner groups.                                       |
| EPIC                       | 05. For TEIs: Your Statement of Service Performance does not meet the requirements set out in the Investment Plan Guidance.  |
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| Additional Funding Request | 01. Your organisation does not qualify for additional funding due to having a Category 4 External Evaluation and Review (EER) rating which does not give TEC confidence in the quality of your education delivery.                                     |
| Additional Funding Request | 02. We are concerned about the quality of provision as evidenced by your Category 3 External Evaluation and Review (EER) rating.   |
| Additional Funding Request | 03. We have concerns about your organisation's viability and performance.  |
| Additional Funding Request | 04. We are not confident your financial position will enable you to deliver successfully to your learners.   |
| Additional Funding Request | 05. You have not met the TEC's minimum Prudential Financial Standards.   |
| Additional Funding Request | 06. We are not satisfied that there is sufficient demand compared to existing supply in your proposed regions of delivery.   |
| Additional Funding Request | 07. You have not adequately demonstrated evidence of industry or employer demand for this provision.   |
| Additional Funding Request | 08. You have not adequately demonstrated evidence of learner demand for this provision.  |
| Additional Funding Request | 09. You have not adequately described your organisation's readiness to deliver the proposed provision.   |
| Additional Funding Request | 10. The proposed increase in delivery is substantial and we are not confident your organisation would be able to scale its delivery quickly enough while maintaining quality.  |
| Additional Funding Request | 11. Your organisation does not yet have the necessary NZQA accreditation for the programme(s) and the application process is still in the early stages. We are therefore not confident that you would be ready to begin delivery at the start of 2022. |
| Additional Funding Request | 12. Your pre-2020 Educational Performance Indicators (EPI) are below our published thresholds for additional funding. We would like to see the performance outcomes for your learners improve before we consider additional funding.                   |

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| Additional Funding Request | 13. We are not confident you can deliver this provision due to previous under-delivery (prior to 2020). Should demand eventuate in 2022 you can submit a new additional funding request, which we will consider through the standard in-year additional funding process.  |
| Additional Funding Request | 14. We do not have a full year of performance data for your delivery of this provision. If there is evidence of high educational performance following a full year of delivery, you can submit a new additional funding request, which we will consider through the standard in-year additional funding process.  |
| Additional Funding Request | 15. We are not confident you can deliver the requested provision as there is insufficient evidence of delivery in 2021. Should demand eventuate in 2022, you can submit a new additional funding request, which we will consider through the standard in-year additional funding process.   |
| Additional Funding Request | 16. We are not satisfied that you require additional funding based on apparent under-delivery which may allow re-prioritisation of your existing Mix of Provision.  |
| Additional Funding Request | 17. Your Learner Success Plan does not adequately demonstrate how your organisation ensures the success of all its learners.  |
| Additional Funding Request | 18. We are concerned about the participation and achievement gaps between your Māori and Pacific learners, compared to other learners. Your request has not provided sufficient evidence that strategies have been put in place to close this parity gap.   |
| Additional Funding Request | 19. The proposed programmes and activities do not demonstrate adequate alignment with TES and TEC strategic priorities, as outlined in our Plan Guidance documents and Investment Briefs.   |
| Additional Funding Request | 20. Although you have evidenced demand, the proposed programmes and activities are not priority areas for investment at this time, as outlined in our Plan Guidance documents and Investment Briefs.  |
| Additional Funding Request | 21. The proposed provision is in one of the areas in which we do not support further growth in 2022 as previously communicated to the sector. These include Managed Apprenticeships/Level 3 Home-based ECE/Level 3 Youth Guarantee.   |
| Additional Funding Request | 22. Unfortunately, we do not have sufficient funding available to approve all requests for additional funding. We have prioritised requests that meet our strategic priorities and would achieve the best outcomes for learners. In this instance we are not satisfied that your proposal achieves these objectives.  |
| Additional Funding Request | 23. Unfortunately, we do not have sufficient funding available to approve all requests for additional funding. We have prioritised requests that meet our strategic priorities and would achieve the best outcomes for learners. Accordingly, the total amount of additional funding we can offer beyond your indicative allocation is [X].   |
| Additional Funding Request | 24. Unfortunately, we do not have sufficient funding available to approve all requests for additional funding. There is no remaining funding for [X] beyond what we have offered in the indicative allocations. These are normally determined by TEO performance and value of delivery. For this year's investment round however, we are not taking 2020 performance into account when deciding on the allocations. This decision was communicated to the sector earlier in the year and is in acknowledgement of the disruption caused by Covid-19 and its ongoing impact on TEOs. |