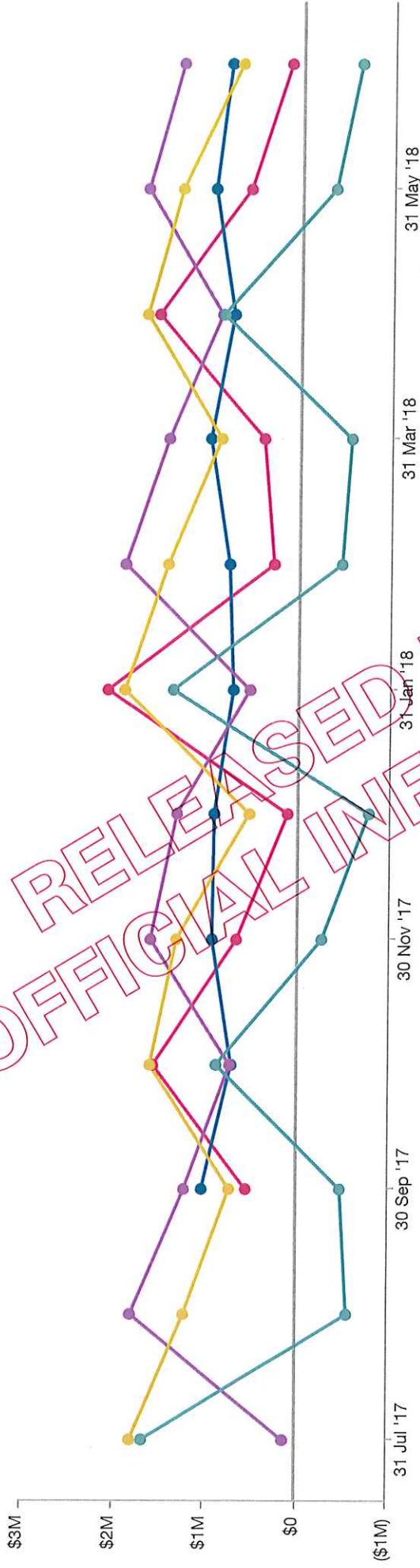


Forecast cash flow



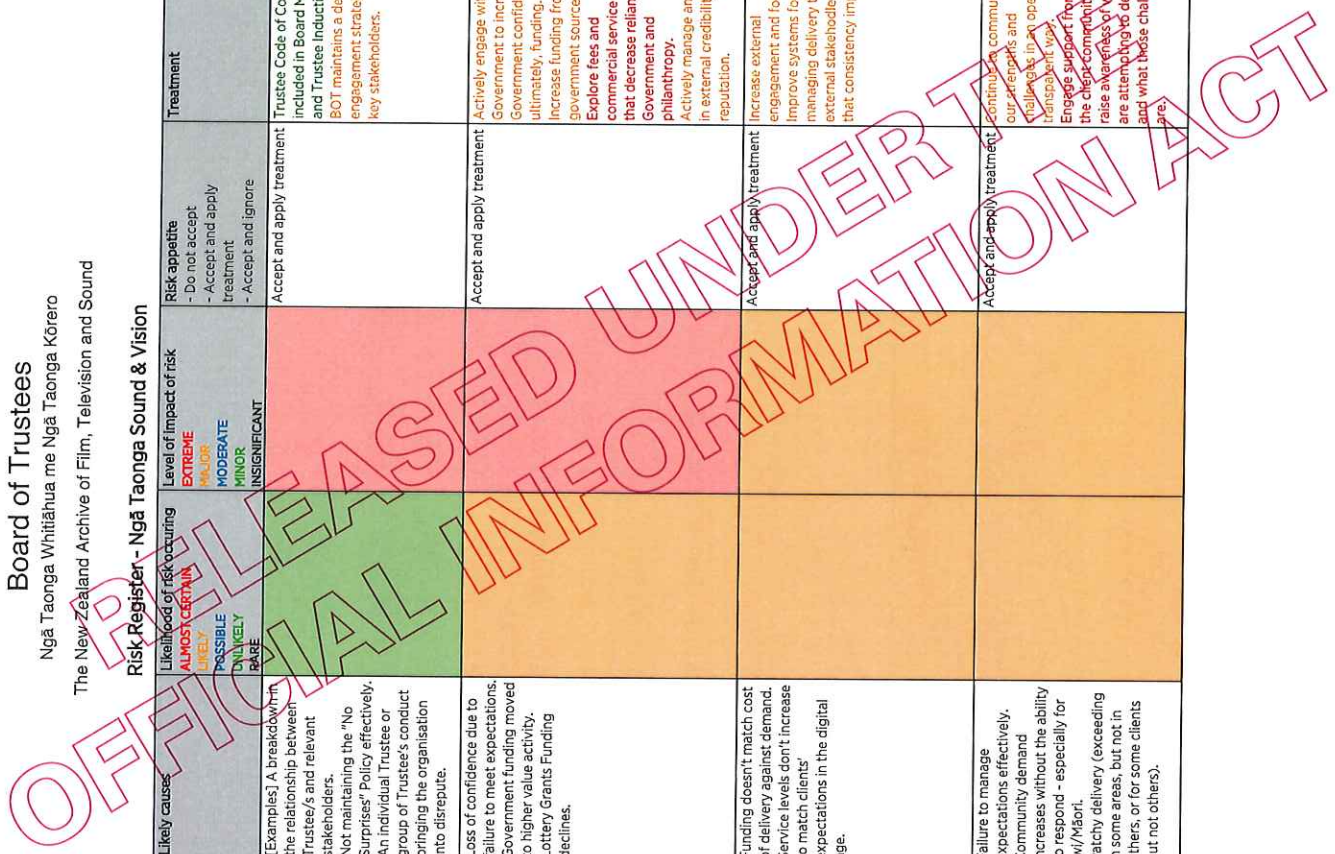
	31 Jul '17	31 Aug '17	30 Sep '17	30 Oct '17	30 Nov '17	31 Dec '17	31 Jan '18	28 Feb '18	31 Mar '18	30 Apr '18	31 May '18	30 Jun '18
Receipts	-	-	\$532,265	\$1,564,396	\$653,376	\$114,850	\$2,071,502	\$270,000	\$395,604	\$1,546,457	\$549,623	\$117,737
Payments	-	-	\$1,017,566	\$698,002	\$924,248	\$907,209	\$701,606	\$744,120	\$970,321	\$718,257	\$925,685	\$768,494
Opening Bank	\$121,016	\$1,786,030	\$1,208,771	\$723,470	\$1,589,863	\$1,318,992	\$526,633	\$1,396,329	\$1,422,409	\$847,692	\$1,675,892	\$1,299,830
Net cash movement	\$1,665,015	(\$577,259)	(\$485,301)	\$866,393	(\$270,871)	(\$792,359)	\$1,369,896	(\$474,120)	(\$574,717)	\$828,200	(\$376,062)	(\$650,757)
Closing Bank	\$1,786,030	\$1,208,771	\$723,470	\$1,589,863	\$1,318,992	\$526,633	\$1,896,529	\$1,422,409	\$847,692	\$1,675,892	\$1,299,830	\$649,073

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Board of Trustees
 Nga Taonga Whitiāhau me Ngā Taonga Kōrero
 The New Zealand Archive of Film, Television and Sound

Risk Register - Ngā Taonga Sound & Vision

Risk Area	Description of Risk	Business Impact Analysis	Likely causes	Likelihood of risk occurring	Level of impact of risk	Risk appetite	Treatment	Residual risk after treatment	Responsibilities
Reputational	That the reputation of the Board of Trustees (collectively or individually) is damaged with key stakeholders (e.g. the Minister or Ministry, Lottery Grants Board) such that the organisation's ability to deliver is impeded/impacted.	Could include: reduction in funding, reduction in trust and confidence, increase in monitoring activity creating overhead for the organisation, decrease in public statements of support for the work we do, reduction in publicity opportunities.	[Examples] A breakdown in the relationship between Trustees/s and relevant stakeholders. Not maintaining the "No Surprises" Policy effectively. An individual Trustee or group of Trustees's conduct bringing the organisation into disrepute.	ALMOST CERTAIN LIKELY POSSIBLE UNLIKELY RARE	EXTREME MAJOR MODERATE MINOR INSIGNIFICANT	- Do not accept - Accept and apply treatment - Accept and ignore	Trustee Code of Conduct included in Board Manual and Trustee Induction. BOT maintains a deliberate engagement strategy with key stakeholders.		All Trustees and the BOT
Financial	Drop in funding, or funding not increasing in line with costs and expectations. Volatility of some funding sources such as Lottery Grants Board.	The organisation's mandate has increased significantly in the past five years, and the funding to support that increased mandate has not been included in our base funding to date. This significantly hampers our ability to improve performance against expectations and to offer new services to share the collections with diverse audiences.	Loss of confidence due to failure to meet expectations. Government funding moved to higher value activity. Lottery Grants Funding declines.			Accept and apply treatment	Actively engage with Government to increase confidence and ultimately, funding. Increase funding from non-government sources. Explore fees and commercial service options that decrease reliance on Government and philanthropy. Actively manage and invest in external credibility and reputation.		All Trustees and the BOT
Reputational	Damage to our external relationships due to non-delivery.	From the public's perspective, we are only relevant when the collections are shared. However, audience access is the most 'optional' part of our business. If we have to prioritise activity due to lack of funding or capacity, preservation and conservation will always be higher priority.	Funding doesn't match cost of delivery against demand. Service levels don't increase to match clients' expectations in the digital age.			Accept and apply treatment	Increase external engagement and focus. Improve systems for managing delivery to external stakeholders so that consistency improves.		All Trustees and the BOT
Reputational	Capacity to deliver	Partly tied to funding, see Financial risks above. Also related to availability of equipment and technology at the operational level.	Failure to manage expectations effectively. Community demand increases without the ability to respond - especially for iwi/Māori. Patchy delivery (exceeding in some areas, but not in others, or for some clients but not others).			Accept and apply treatment	Continually communicate our strengths and challenges in an open and transparent way. Engage support from within the client community to raise awareness of what we are attempting to deliver, and what those challenges are.		All Trustees and the BOT



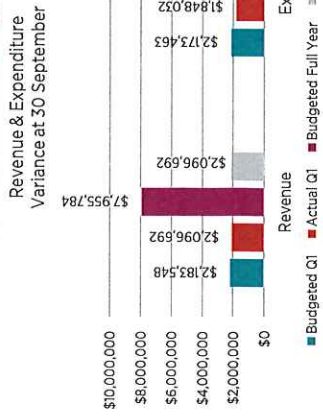
Board of Trustees
 Ngā Taonga Whitiāhua me Ngā Taonga Kōrero
 The New Zealand Archive of Film, Television and Sound

Risk Register - Ngā Taonga Sound & Vision

Risk Area	Description of Risk	Business Impact Analysis	Likely causes	Likelihood of risk occurring	Level of impact of risk	Risk appetite	Treatment	Residual risk after treatment	Responsibilities
Reputational	Capability to deliver	Significant investments have already been made in the capability of the organisation to deliver to (and eventually to exceed) expectations. However this is an ongoing task and is dependent on a number of key employees, leadership capability and focus by the Board.	Turnover/departure of key staff. Change fatigue.	ALMOST CERTAIN LIKELY POSSIBLE UNLIKELY RARE	EXTREME MAJOR MODERATE MINOR INSIGNIFICANT	- Do not accept - Accept and apply treatment - Accept and ignore	Succession planning. Keeping the CE focused on supporting employees to be engaged, effective and resilient, focus on organisational health, culture and best practices by CE and Managers.		All Trustees and the BOT

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Financial Performance (\$)



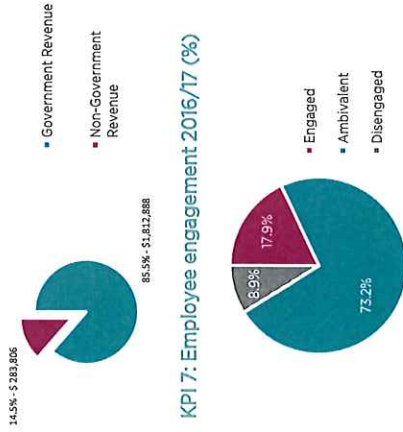
KPI 1: Annual Acquisition Plan Achieved (%)

	Q1	Q2	Q3	Q4	Total
TMP - television	302				(810)
TMP - radio	769				(2,000)
Television	1,671				(5,000)
Film	27				(400)
Radio	439				(2,000)
Music	148				(600)
Digital Collections	169				(800)

Collection Development

- Highlights this quarter:
- Deposit of "The Demolition Teams" containing 'inside the red zone' footage of post-earthquake Christchurch and Wellington demolition projects.
 - KHF Media (David Stubbs) - Girl vs Boy, Reservoir Hill, Belief: The Possession of Janet Moses
 - "The Rehearsal" DCDM/DPX
 - "The Valley" (Stuff Circuit) including virtual reality specifications
 - Downloaded 12 episodes of "Black Hands" audio podcast about Bain family murders (1994) by Martin van Beynen, for Stuff.co.nz.
 - Spark (old NZ Post Office) film deposit: 1962 laying of submarine telecommunications cable COMPAC at Muriwai Beach.
 - Cliff Walker deposit: 1/2-inch open reel tapes and 78s, including unique recordings of New Plymouth jazz groups at the Bowl of Brooklands ca 1958-65.
 - Equipment donated by TVNZ, MTS and RPM Pictures for the working collection including professional video decks now obsolete in the commercial marketplace - Digi Betacam/Beta SP /DV/CAM/waveform rasterise/SVHS.

KPI 4: Revenue from non-government sources (\$) YTD

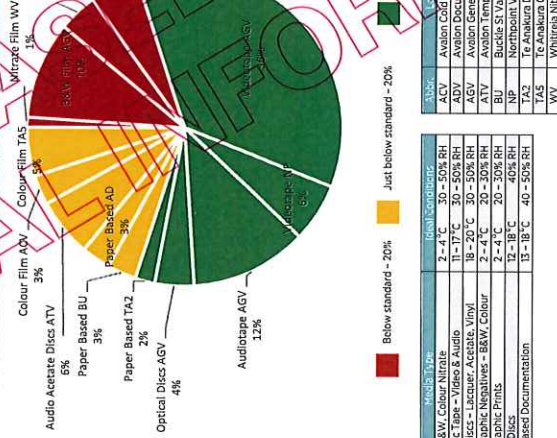


KPI 7: Employee engagement 2016/17 (%)

Key Performance Indicators

KPI	Q1 Results	Q2 Results	Q3 Results	YTD Results	Annual Target
KPI 1: Percentage of Annual Acquisition Plan Achieved	32%			32%	90%
KPI 2: Percentage of collection housed in best practice conditions (subject to rights clearance)	60%			60%	80%
KPI 3: Percentage of revenue from non-viewers/listeners (all sources)	44%			44%	40%
KPI 4: Percentage of viewers/listeners (all sources)	14.5%			14.5%	13%
KPI 5: Number of viewers/listeners (all sources)	554,021			554,021	1,000,000
KPI 6: Responsiveness to WI and Maori	Meeting expectations and commitments			Meeting expectations and commitments	Meeting expectations and commitments
KPI 7: Percentage of employee engagement	35%			35%	35%

KPI 2: Collection housed in best practice conditions (%)



KPI 5: Number of visits/views/listens (all sources) (#) YTD



Key Variances & Developments

Major Budget Variances

Major budget variances are in Grants - down on budget by \$55k. Our work efforts are currently focusing on the upcoming launch of our Patronage Campaign on the 1st October, and we hope to see the proceeds of this campaign soon after that.

Major Variances: Expenditure

Salaries (including Kiwisaver) have YTD savings of \$224k as we have had a number of vacancies this quarter. We have filled some of these roles, in addition to continuing our recruitment efforts. Other savings in anticipated spend include ICT of \$53k (resulting from project kicking off slower than budgeted), Depreciation of \$1k, and a number of savings in other cost categories.

Significant Achievements

Over 500 still images were supplied to military historian Dr. Cline Passey, for his forthcoming book on early New Zealand film cameras in the Crowder. Scheduled to launch at Nga Taonga on 1 December 2017.

KPI 3: Collection available (Subject to rights clearance) (%)

Format	Abasic criteria	% of collection available (resource level)	Uniting factors
Film - all gauges (silent)		80%	6%
Film - all gauges (optical sound)		50%	14%
Film - mag. sound		50%	2%
Video 2-inch		90%	>1%
Video 1/2-inch		80%	4.5%
Video 1/4-inch		0%	>1%
Video Betacam SP		20%	10%
Video Digital Betacam		20%	26%
Video Umatic		80%	6.5%
Video VHS / SVHS		30%	15%
Videos DV / miniDV / DV/CAM		50%	>1%
Videos HDCAM / HDCAM SR		100%	>1%
Audio - disc formats		100%	4%
Audio - 1/2-inch DDT		70%	15%
Audio - DAT		30%	>1%
Audio - cassette formats		90%	3%
Audio - multi-track		30%	>1%
Audio - CDR		70%	Unstable format.
Documentation - posters		80%	Larger items must be outsourced.
Documentation - photographs		30%	Volume too high.

* Data is based on pending actual collection analysis data. Estimated proportion of collection as a whole. Standard counting and data not available across all collections yet.

KPI 6: Delivery of targets in the Iwi Engagement Annual Plan 2017/18

Year	Q1 Results	Q2 Results	Q3 Results	Q4 Results	YTD Results	Annual Target
2017	3				3	5
2018	5				5	3
2019	1				1	3
2020	0				0	3

Highlights

- Meetings were held this quarter with Ngāi Tahu, Ngāti Porou and Te Korowai o Waiuiārua (Central Whangarei).
- A series of 4 screenings were held in Gisborne and Ruatoria, attracting iwi audiences of around 190 in total, including around 75 school children.
- Screenings were held at Nga Taonga in July as part of the Matariki season. The programme included a series of screenings to support the Film in the Colony symposium, run in partnership with Centre for Research on Colonial Culture, University of Otago and screenings in support of Alexander Turnbull Library's 'Wahine: Become the dusky maiden' exhibition.
- Nga Taonga continues to supply Radio Taohiri with recordings for programming on Te Paea Hōringi and Pei Te Hurunui Jones and Te Wānanga o Raukawa with copies of over 200 titles to replace sound recordings lost in the Wanganui library fire last year.
- One online exhibition "Te Pitakeatanga o Nga Taonga Kōrero" was launched this quarter.
- Iwi/Māori internships have been advertised and are on track to start in Q2.